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NATIONAL BANK OF MOLDOVA

D E C I S I O N

on the approval of the Regulation on the authorisation of certain foreign exchange operations by the National Bank of Moldova

No 51 of 05.03.2009

(in force as of 20.03.2009)

Official Monitor of the Republic of Moldova No 57-58 of 20.03.2009, Article 251

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REGISTERED:
Minister of Justice
of the Republic of Moldova
Vitalie PÎRLOG
No 665 of 16 March 2009

Pursuant to Article 11 and 51 of Law No 548/1995 on the National Bank of Moldova (republished in the Official Monitor of the Republic of Moldova, 2015, No 297-300, Article 544), with further amendments, provisions of Law No 62/2008 on Foreign Exchange Regulation (republished in the Official Monitor of the Republic of Moldova, 2016, No 423-429, Article 859), with further amendments, the Council of Administration of the National Bank of Moldova

DECIDES:

[Adoption clause amended by the NBM's Decision No 255 of 06.11.2025, in force as of 01.01.2026]

[Adoption clause amended by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

I. To approve the Regulation on the authorisation of certain foreign exchange operations by the National Bank of Moldova (shall be attached).

II. To repeal the Instruction on the transfer of capital in the form of investments, approved by the Decision of the Council of Administration of the National Bank of Moldova No 186 of 29 July 2004 (Official Monitor of the Republic of Moldova, 2004, No 138-146, Article 285), with further amendments and completions.

III. To amend the Instruction on the transfer of funds from the Republic of Moldova by certain categories of individuals, approved by the Decision of the Council of Administration of the National Bank of Moldova No 184 of 13 July 2006 (Official Monitor of the Republic of Moldova, 2006, No 116-119, Article 426), with further amendments and completions as follows:

1. Paragraph 1.2 shall exclude letter b).
2. Chapter III shall be excluded.
3. Annex 1 to the Instruction:
 - 1) Section I:
 - a) The text “/National Bank of Moldova” shall be excluded from the title of the section;
 - b) Paragraphs 1, 2, and 6 shall be excluded;
 - c) The text “/obtaining authorisation from the National Bank of Moldova” shall be excluded from paragraph 7;
 - 2) Section II:
 - a) The text “paragraphs 1 – 7 of” shall be replaced by the word “in” in the title of the section;
 - b) Paragraph 1 shall have the following content:

“1. The document referred to in paragraph 5 shall be submitted and kept with the licensed bank in original”;

c) Paragraph 2:

- The text “2 – 4 and 6 – 7” shall be replaced by the text “3, 4, and 7”, and text “/National Bank of Moldova” shall be excluded from the first sentence;

- The texts “/National Bank of Moldova” and “National Bank of Moldova” shall be excluded from the second sentence;

- The texts “, 6” and “National Bank of Moldova” shall be excluded from the third sentence.

4. Annex 2 to the Instruction shall be excluded.

IV. Residents who have submitted to the National Bank of Moldova applications for the issuance of authorisations to carry out foreign exchange operations regulated by the Regulation referred to in paragraph I of this Decision and who have not received the respective authorisations from the National Bank of Moldova by the date of entry into force of this Decision, shall be obliged to comply with the provisions of the aforementioned Regulation.

V. Valid authorisations, issued to residents by the National Bank of Moldova prior to the entry into force of Law No 62-XVI of 21 March 2008 on Foreign Exchange Regulation (Official Monitor of the Republic of Moldova, 2008, No 127-130, Article 496), in accordance with the instructions referred to in paragraphs II and III of this Decision and individually based on the Regulation on foreign exchange regulation on the territory of the Republic of Moldova, approved by the Decision of the Council of Administration of the National Bank of Moldova of 13 January 1994, minutes No 2 (republished in the Official Monitor of the Republic of Moldova, 2003, No 56-58, Article 75), with subsequent amendments and completions, for foreign exchange operations, the performance of which does not require authorisation according to the respective Law, shall cease to be valid on the day of the entry into force of the mentioned Law.

VI. Residents holding valid authorisations, issued by the National Bank of Moldova prior to the entry into force of Law No 62-XVI of 21 March 2008 on Foreign Exchange Regulation (Official Monitor of the Republic of Moldova, 2008, No 127-130, Article 496), in accordance with the instructions referred to in paragraphs II and III of this Decision and individually based on the Regulation on foreign exchange regulation on the territory of the Republic of Moldova, approved by the Decision of the Council of Administration of the National Bank of Moldova of 13 January 1994, minutes No 2 (republished in the Official Monitor of the Republic of Moldova, 2003, No 56-58, Article 75), with subsequent amendments and completions, shall submit reports to the National Bank of Moldova in accordance with the provisions of the Regulation referred to in paragraph I of this Decision, starting from the management month in which this Decision enters into force.

**PRESIDENT
OF THE COUNCIL OF ADMINISTRATION
OF THE NATIONAL BANK OF MOLDOVA**

Leonid TALMACI

Chişinău, 5 March 2009.

No 51.

Note: Throughout the text of the Regulation, the text "(or their equivalent)" is replaced by the text "(or their equivalent)" according to NBM Decision No 239 of 30.11.2023, in force as of 01.01.2024;

Note: Throughout the text of the regulation, the text "Law No 62-XVI of 21 March 2008" and the text "Law No 62/2008", in any grammatical case, shall be replaced with the text "Law No 62/2008", in the respective grammatical case, according to the NBM Decision No 13 of 24.01.2020, in force as of 01.04.2020;

Note: Throughout the text of the Regulation, with the exception of paragraph 9 letter a) and paragraph 5 of the Method for drawing up the Report on the performance of authorised foreign exchange operation in Annex 5, the phrase "licensed bank" in any grammatical form is replaced with the phrase "RPS provider" in the respective grammatical form according to NBM Decision No 201 of 17.10.2013, in force as of 20.12.2013.

Regulation on the authorisation of certain foreign exchange operations by the National Bank of Moldova

[Preamble repealed by the NBM Decision No 201 of 17.10.2013, in force as of 20.12.2013]

Amended by:

DEB of the NBM no.255 of 06.11.2025, OM of the Republic of Moldova no.565-568 of 13.11.2025, Art.1001;

DEB of the NBM no.239 of 30.11.2023, OM of the Republic of Moldova no.484-487 of 20.12.2023, Art.1219;

DEB of the NBM no.13 of 24.01.2020, OM of the Republic of Moldova no.36-43 of 07.02.2020, Art.162;

DEB of the NBM no.30 of 13.02.2018, OM of the Republic of Moldova no.68-76 of 02.03.2018, Art.344;

DEB of the NBM no.134 of 24.05.2017, OM of the Republic of Moldova no.190-200 of 16.06.2017, Art.1162;

DCA of the NBM no.201 of 17.10.2013, OM of the Republic of Moldova no.297-303 of 20.12.2013, Art.1875;

DCA of the NBM no.9 of 28.01.2010, OM of the Republic of Moldova no.41-43 of 26.03.2010, Art.178.

Chapter I GENERAL PROVISIONS

1. This Regulation shall use notions defined in Law No 62/2008 on Foreign Exchange Regulation (republished in the Official Monitor of the Republic of Moldova, 2016, No 423-429, Article 859), hereinafter – Law No 62/2008. Also, for the purpose of this Regulation the following notions shall be used:

a) authorisation for performing foreign exchange operation (the NBM authorisation) – an official document (on paper or in electronic form), issued by the National Bank of Moldova, which allows its holder to perform the respective foreign exchange operation;

b) resident individuals – individuals specified in Article 3 paragraph 9) letter a) of Law No 62/2008;

c) non-resident individuals – individuals specified in Article 3 paragraph 10) letter a) of Law No 62/2008;

d) resident individuals practicing a certain type of activity – individuals specified in Article 3 paragraph 9) letter b) of Law No 62/2008;

e) resident legal entities – residents specified in Article 3 paragraph 9) letters c), e)-g) of Law No 62/2008;

f) non-resident legal entities – non-residents specified in Article 3 paragraph 10) letters b)-d), f), g) of Law No 62/2008;

g) applicant – resident individual, resident individual practicing a certain type of activity or resident legal entity intending to perform a foreign exchange operation subject to authorisation in accordance with Law No 62/2008 and to this end submitting (on paper or in electronic form) to the National Bank of Moldova (in person or through a representative) an application for obtaining the authorisation for performing the foreign exchange operation and documents related to the foreign exchange operation for which the NBM authorisation is requested;

h) legal undertaking from abroad – an enterprise or organization (with or without legal entity status), their branches, established under the legislation of the foreign state, as well as any individual practicing a certain type of activity under the legislation of the foreign state.

[Paragraph 1 amended by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

[Paragraph 1 amended by the NBM's Decision No 30 of 13.02.2018, in force as of 01.05.2018]

[Paragraph 1 amended by the NBM's Decision No 30 of 13.02.2018, in force as of 02.03.2018]

[Paragraph 1 supplemented by the NBM's Decision No 201 of 17.10.2013, in force as of 20.12.2013]

2. This Regulation shall establish:

a) the requirements related to the application for obtaining the authorisation for performing the foreign exchange operation, the application for obtaining the duplicate of the authorisation, as well as the list of documents which shall be attached to the given applications;

b) the conditions and the procedure for issuance by the National Bank of Moldova of authorisations for performing certain foreign exchange operations;

c) the requirements related to informing on modification of data from the documents attached to the application for obtaining the authorisation;

[Letter d) repealed by the NBM Decision No 30 of 13.02.2018, in force as of 01.05.2018]

e) the procedure for reporting the authorised foreign exchange operations to the National Bank of Moldova;

f) the obligations of residents who carry out authorised foreign exchange operations;

g) other particularities related to the authorised foreign exchange operations.

[Paragraph 2 amended by the NBM Decision No 239 of 30.11.2023, in force as of 01.01.2024]

[Paragraph 2 amended by the NBM Decision No 13 of 24.01.2020, in force as of 01.04.2020]

3. This Regulation shall be applied to residents performing the following foreign exchange operations subject to authorisation under Law No 62/2008:

a) operations with foreign financial instruments in the cases stipulated in Article 9 paragraphs (6)-(10) of Law No 62/2008;

b) operations related to granting by the resident individual in favor of the non-resident individual/legal entity of a donation in the amount exceeding EUR 10000 (or their equivalent) except for the case specified in Article 16 paragraph (2¹) of Law No 62/2008;

c) operations related to granting by the resident legal entity and by resident individual practicing a certain type of activity in favor of non-resident individual/legal entity of a donation in the amount exceeding EUR 10000 (or their equivalent) except for the case specified in Article 16 paragraph (2¹) of Law No 62/2008;

d) operations related to transfers by residents in favor of non-residents of funds required for the provision of services by non-residents (not included in Article 13 of Law No 62/2008) – in cases when the mentioned transfers shall be made before the conclusion of transactions for the performance of which these funds are intended and the amount of the transaction exceeds EUR 10000 (or their equivalent);

e) operations related to making payments/transfers from the Republic of Moldova abroad by residents in favor of residents under provisions of Article 23 paragraph (5) of Law No 62/2008;

f) foreign exchange operations performed abroad by residents under provisions of Article 38 of Law No 62/2008;

g) operations related to the granting by residents in favor of non-residents of financial loans/credits, specified in Article 11 paragraph (4) of Law No 62/2008;

h) operations related to the granting by resident individuals in favor of non-resident individuals of loans within personal operations, specified in Article 15 paragraph (1) letter a) of Law No 62/2008, in amount exceeding EUR 10000 (or their equivalent);

i) operations related to the granting by the residents in favor of non-residents of the guarantees, specified in Article 12 paragraph (3) of Law No 62/2008, in case the amount of the guarantee exceeds EUR 10000 (or their equivalent).

[Paragraph 3 supplemented by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

[Paragraph 3 amended by the NBM's Decision No 30 of 13.02.2018, in force as of 02.03.2018]

3¹. The authorisation regime shall not apply to:

a) the foreign exchange operations indicated in paragraph 3 in which the participant in the operations is the Ministry of Finance or the National Bank of Moldova, as well as the foreign exchange operations performed from the national public budget funds;

b) the foreign exchange operations related to the financial guarantees provided in Article 4 paragraph 12) of Law No 184/2016 on financial guarantee arrangements and governed by this law.

[Paragraph 3¹ introduced by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

3². As residents who carry out foreign exchange operations referred to in paragraph 3 letters g)-i) shall also be considered residents that become counterparties within the foreign exchange operations as a result of amending or supplementing the contractual clauses, assignment of debt, taking over of the debt, novation, or other grounds for amending of the legal relations.

[Paragraph 3² introduced by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

3³. In the case of foreign exchange operation that is not subject to authorisation according to Law No 62/2008 on the date of concluding contracts (issuing documents), but which as a result of amendments to the contractual clauses becomes subject to authorisation by the National Bank of Moldova according to the mentioned law, the resident – participant in the transaction related to the foreign exchange operation becomes responsible for obtaining the authorisation and reporting on such foreign exchange operation according to this Regulation. If, according to the mentioned foreign exchange operation, transactions have been carried out until the date of authorisation by the National Bank of Moldova, they will be reported according to Chapter IV.

[Paragraph 3³ introduced by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

3⁴. The determination of the equivalent in other currency of the ceilings in euro indicated in paragraph 3 shall be carried out with the application of the official exchange rate of Moldovan Leu valid on the date of conclusion of the contracts (issuance of documents) based on which obligations related to these foreign exchange operations occurred.

[Paragraph 3⁴ introduced by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

3⁵. If, as a result of the modification of the contract provisions, the amount of the loan/credit or guarantee is increased and exceeds the ceiling indicated in paragraph 3 letters g)-i), the determination of the equivalent in another currency of the given ceiling is made with the application of the official exchange rate of Moldovan Leu valid on the date of concluding the contracts (issuing documents) by which the contractual amount of the loan/credit or guarantee was increased.

[Paragraph 3⁵ introduced by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

4. Operations specified in paragraph 3 of this Regulation may be performed only after obtaining from the National Bank of Moldova of the authorisation for performing the respective

foreign exchange operation. The responsibility for obtaining the authorisation is borne by the resident-participant in the transaction related to the foreign exchange operation.

[Paragraph 4 supplemented by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

4¹. If in order to carry out the foreign exchange operations indicated in paragraph 3, the use of an account abroad is expected, which, according to Law No 62/2008, can be opened only with authorisation from the National Bank of Moldova, the resident shall submit the application for authorisation of the respective foreign exchange operation at the same time as the request for issuing the authorisation for opening the account abroad according to the Regulation on residents' accounts abroad, approved by the Decision of the Council of Administration of the National Bank of Moldova No 216/2015. The National Bank of Moldova has the right to authorise the foreign exchange operation, for the accomplishment of which the account is expected to be opened abroad, if it has decided to authorise the opening of the account abroad.

[Paragraph 4¹ introduced by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

5. Authorisation for carrying out foreign exchange operations shall not imply that the National Bank of Moldova assumes the obligations regarding these operations.

[Paragraph 5 amended by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

5¹. The provisions of this Regulation contain requirements from the point of view of foreign exchange regulation and do not exempt residents who have obtained the NBM authorisations for carrying out foreign exchange operations, resident payment service providers (hereinafter – RPS providers) through which authorised foreign exchange operations are carried out from the obligation to comply with the provisions related to these foreign exchange operations, established by other normative acts, as well as from the application of measures in accordance with the requirements of legislation in the field of preventing and combating money laundering and terrorist financing.

[Paragraph 5¹ in the wording of the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

[Paragraph 5¹ in the wording of the NBM's Decision No 30 of 13.02.2018, in force as of 02.03.2018]

[Paragraph 5¹ supplemented by the NBM's Decision No 201 of 17.10.2013, in force as of 20.12.2013]

[Paragraph 5¹ introduced by the NBM's Decision No 9 of 28.01.2010, in force as of 10.04.2010]

6. The applicant shall be responsible for the compliance of the foreign exchange operation with the provisions of the legislation of the Republic of Moldova.

7. The responsibility for signing the documents (that are compiled and submitted to the National Bank of Moldova by the resident legal entity) by the person empowered by this right according to the legislation of the Republic of Moldova shall bear the respective legal entity.

[Paragraph 7 amended by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

7¹. In the event when the applicant intends to obtain the authorisation for performing the foreign exchange operation referred to in paragraph 3 letter e) of this Regulation, within which the payment/transfer will be made in favor of resident individual who is abroad, the applicant shall bear the responsibility for the fact that the payment/transfer shall be made in favor of the given resident individual.

[Paragraph 7¹ introduced by the NBM's Decision No 9 of 28.01.2010, in force as of 10.04.2010]

8. The National Bank of Moldova shall keep records in a special register of the authorisations for performing foreign exchange operations, as well as duplicates of authorisations issued to residents.

[Paragraph 8 supplemented by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

8¹. Payments/transfers within the authorised foreign exchange operations shall be made in accordance with the Regulation on conditions and procedures for performing foreign exchange

operations, approved by Decision of the Executive Board of the National Bank of Moldova No 29/2018 (hereinafter - Regulation on conditions and procedures for performing foreign exchange operations).

[Paragraph 8¹ amended by the NBM Decision No 13 of 24.01.2020, in force as of 01.04.2020]

[Paragraph 8¹ introduced by the NBM Decision No 30 of 13.02.2018, in force as of 01.05.2018]

8². Applications for the issuance of the NBM authorisations and documents to be attached to them shall be submitted to the National Bank of Moldova on paper or in electronic form by resident individuals, and in electronic form by resident legal entities and resident individuals practicing a certain type of activity.

[Paragraph 8² introduced by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

8³. The resident submitting the documents provided for in this Regulation in electronic form shall be obliged to use the qualified electronic signature according to Law No 124/2022 on Electronic Identification and Trust Services (hereinafter – Law No 124/2022).

[Paragraph 8³ introduced by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

8⁴. The National Bank of Moldova shall not authorise the foreign exchange operations referred to in paragraph 3 under the following conditions:

1) the resident individual or legal entity intending to carry out foreign exchange operations and/or the non-resident individual or legal entity counterparty to this foreign exchange operation and/or the non-resident payment service provider through which the foreign exchange operation will be carried out:

a) is subject of an international restrictive measure which has become applicable on the territory of the Republic of Moldova under the provisions provided for by Law No 25/2016 on the application of international restrictive measures, and

b) such international restrictive measure has as its object or effect the restriction of the right to carry out the the respective foreign exchange operation. The National Bank of Moldova shall consider the exceptions provided for by Law No 25/2016 on the application of international restrictive measures when adopting the decision on the authorisation of the foreign exchange operation.

2) the applicant, prior to obtaining the authorisation, has made partial or full payments/transfers within the foreign exchange operation for which the NBM authorisation is requested.

[Paragraph 8⁴ in the wording of the NBM's Decision No 255 of 06.11.2025, in force as of 01.01.2026]

[Paragraph 8⁴ introduced by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

Chapter II

The process of authorisation of foreign exchange operations by the National Bank of Moldova

Section 1

The documents necessary to obtain the NBM authorisation

9. In order to obtain the authorisation for performing foreign exchange operations specified in paragraph 3 of this Regulation, the applicant shall submit to the National Bank of Moldova an application for issuing the authorisation drawn up, depending on the type of foreign exchange operations, according to the requirements specified in Annex 1 or, as the case may be, according to the form in Annex 1¹ or Annex 1² to this Regulation, to which shall be attached as follows:

[Letter a) repealed by the NBM's Decision No 255 of 06.11.2025, in force as of 01.01.2026]

b) the documents related to the foreign exchange operation for which the NBM authorisation is requested.

[Paragraph 9 amended by the NBM's Decision No 255 of 06.11.2025, in force as of 01.01.2026]

[Paragraph 9 amended by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]
[Paragraph 9 supplemented by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]
[Paragraph 9 supplemented by the NBM's Decision No 201 of 17.10.2013, in force as of 20.12.2013]

[Paragraph 10 repealed by the NBM's Decision No 255 of 06.11.2025, in force as of 01.01.2026]
[Paragraph 10 amended by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

11. The documents related to the foreign exchange operation for which the NBM authorisation is requested shall be the documents indicated in Annex 2 to this Regulation that, depending on the type of foreign exchange operation, shall be submitted as follows:

1) for operations with foreign financial instruments indicated in paragraph 3 letter a) of this Regulation, in particular for:

a) the operations related to the purchase of shares or other securities of a participating nature which constitute less than 10% in the authorised capital or from the number of shares or other securities of a participating nature with voting right of the legal undertaking which is to be established abroad – the documents indicated in paragraphs 7, 8, 15¹ and 16;

b) the operations related to the purchase of shares or other securities of a participating nature which constitute less than 10% in the authorised capital or from number of shares or other securities of a participating nature with voting right of the legal undertaking existing abroad – the documents indicated in paragraphs 8, 9, 15¹ and 16;

c) the operations related to the purchase of nonresident units of collective investment undertakings – the documents indicated in paragraphs 11, 15¹ and 16;

d) the operations related to the purchase of state securities issued or guaranteed by the governments of foreign states (hereinafter – foreign state securities) – the documents specified in paragraphs 15¹ and 16;

e) the operations related to the purchase of foreign financial instruments, other than those referred to in letters a)-d) of this sub-paragraph – the documents indicated in paragraphs 11, 15¹ and 16;

2) for the operations, indicated in paragraph 3 letters b) and c) of this Regulation, related to the granting of donations by residents in favor of non-residents – the documents indicated in paragraphs 12, 15¹ and 16;

3) for the operations, indicated in paragraph 3 letter d) of this Regulation, related to making the transfers of fund by residents in favor of non-residents – the documents indicated in paragraphs 13, 15¹ and 16;

4) for the operations, indicated in paragraph 3 letter e) of this Regulation, related to the making of payments/transfers abroad by residents in favor of residents – the documents indicated in paragraphs 14, 15¹, 15² and 16;

5) for the foreign exchange operations performed abroad by residents, indicated in paragraph 3 letter f) of this Regulation – the documents indicated in paragraphs 15, 15¹ and 16;

6) for the operations indicated in paragraph 3 letter g) of this Regulation, related to the granting by residents in favor of non-residents of financial loans/credits – the documents indicated in paragraphs 15¹, 15³ and 16;

7) for the operations indicated in paragraph 3 letter h) of this Regulation, related to the granting by the resident individuals in favor of the non-resident individuals of the loans within the personal operations – the documents indicated in paragraphs 15¹, 15³ and 16;

8) for the operations indicated in paragraph 3 letter i) of this Regulation, related to the granting by the residents in favor of non-residents of the guarantees – the documents indicated in paragraphs 15¹, 15⁴-16.

[Paragraph 11 amended by the NBM's Decision No 255 of 06.11.2025, in force as of 01.01.2026]
[Paragraph 11 amended by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]
[Paragraph 11 supplemented by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]
[Paragraph 11 amended by the NBM's Decision No 30 of 13.02.2018, in force as of 02.03.2018]
[Paragraph 11 supplemented by the NBM's Decision No 201 of 17.10.2013, in force as of 20.12.2013]

11¹. The information contained in the application for issuing the authorisation shall correspond to the information in the documents on the basis of which the application was completed. The information regarding the identity of the applicant indicated in the application for the issuance of the authorisation shall be verified by the National Bank of Moldova by accessing state information resources.

[Paragraph 11¹ supplemented by the NBM's Decision No 255 of 06.11.2025, in force as of 01.01.2026]

[Paragraph 11¹ introduced by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

11². Documents referred to in paragraph 11 shall be submitted to the National Bank of Moldova in accordance with the provisions provided for in Section II of Annex 2.

[Paragraph 11² amended by the NBM's Decision No 255 of 06.11.2025, in force as of 01.01.2026]

[Paragraph 11² introduced by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

Section 2.

The examination by the National Bank of Moldova of the application for issuing the authorisation and the application for issuing the duplicate of the authorisation

[Title of Section 2 supplemented by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

12. The National Bank of Moldova shall take a decision on the issuance or refusal of the authorisation no later than 30 working days from the date of registration of the application for the issuance of the authorisation, accompanied by all necessary documents or, as the case may be, from the date of registration of the documents submitted in accordance with paragraph 12³.

[Paragraph 12 in the wording of the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

[Paragraph 12 supplemented by the NBM's Decision No 30 of 13.02.2018, in force as of 02.03.2018]

12¹. In the event when the applicant is the RPS provider, the application for issuing the authorisation and documents attached thereto shall also be examined from the point of view of implication of the RPS provider in excessive risks related to the activity. In this case the National Bank of Moldova may authorise the respective foreign exchange operation if it was determined that following authorisation of the foreign exchange operation, for which the NBM authorisation is requested, the RPS provider will not be engaged in risky or dubious operations.

[Paragraph 12¹ amended by the NBM's Decision No 201 of 17.10.2013, in force as of 20.12.2013]

[Paragraph 12¹ introduced by the NBM's Decision No 9 of 28.01.2010, in force as of 10.04.2010]

12². If the National Bank of Moldova, after examining the documents submitted in accordance with paragraph 12, determines that the foreign exchange operation is not subject to authorisation under Law No 62/2008, it shall notify the applicant of this fact within 5 working days from the date of registration of the documents.

[Paragraph 12² amended by the NBM's Decision No 255 of 06.11.2025, in force as of 01.01.2026]

[Paragraph 12² introduced by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

12³. If the applicant has not submitted the complete set of documents or the documents in question do not meet the requirements of this Regulation within 5 working days from the date of registration of the application for the issuance of the authorisation, the National Bank of Moldova shall notify the applicant of the need to submit the missing documents and/or the documents corrected in accordance with the requirements of this Regulation within a maximum of 5 working days from the date of the notification, as well as of the suspension of the administrative procedure for that period. If the applicant failed to submit the aforementioned documents within the set time limit, the National Bank of Moldova shall notify the applicant of the termination of the administrative procedure. The applicant may submit a new application for authorisation, enclosing all the necessary documents, including those requested by the National Bank of Moldova.

[Paragraph 12³ amended by the NBM's Decision No 255 of 06.11.2025, in force as of 01.01.2026]

[Paragraph 12³ introduced by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

13. In the context of ensuring compliance with the legislation on preventing and combating money laundering and terrorist financing, the National Bank of Moldova is entitled to request the Office for Prevention and Fight against Money Laundering and other competent authorities in the country to give an opinion on the absence or existence of suspicious nature of the source of the financial means to be used for carrying out the foreign exchange operation subject to authorisation and/or the activity of the applicant. The National Bank of Moldova shall suspend the administrative procedure for the period of the respective request, of which the applicant shall be notified.

[Paragraph 13 in the wording of the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

[Paragraph 13 amended by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

14. Reasons for the refusal to issue the NBM authorisation shall serve:

[Letters a), b) repealed by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

c) the application by the National Bank of Moldova to the applicant – RPS provider – of supervisory measures, where appropriate, of remedial measures related to the foreign exchange operation, for which performance the issuance of the NBM authorisation is requested;

d) the submission of documents containing unauthentic or contradictory data;

e) the failure of the applicant to meet the conditions of authorisation provided for in paragraph 8⁴;

f) the submission by the competent authorities of information indicating the suspicious nature of the source of the financial means to be used for carrying out the foreign exchange operation subject to authorisation and/or the activity of the applicant, in the context of ensuring compliance with the legislation on preventing and combating money laundering and terrorist financing;

g) The National Bank of Moldova's finding of the situation of significant net demand for foreign currency on the domestic foreign exchange market and the finding that the foreign exchange operation for which the authorisation is requested will lead to a worsening of this situation, as well as other situations that may have a significant negative impact on ensuring the stability of the domestic foreign exchange market and/or on the implementation of the state foreign exchange policy.

[Paragraph 14 amended by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

[Paragraph 14 supplemented by the NBM's Decision No 30 of 13.02.2018, in force as of 02.03.2018]

15. The National Bank of Moldova shall notify the applicant the decision on the issuance or refusal to issue the authorisation no later than on the third working day from the date of the decision, indicating the grounds for the refusal, as the case may be.

[Paragraph 15 in the wording of the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

16. The applicant may submit a new application for the issuance of the authorisation after the circumstances which led to the refusal of the application have been removed (if this is within the applicant's control).

[Paragraph 16 in the wording of the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

17. The authorisation of foreign exchange operation indicated in paragraph 3 letters a)-f) shall be carried out by issuing the respective authorisation by the National Bank of Moldova,

[Paragraph 17 amended by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

[Paragraph 17 supplemented by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

17¹. The authorisation of the foreign exchange operation indicated in paragraph 3 letters g) and h) shall be carried out by the issuance by the National Bank of Moldova of the authorisation according to the form provided in Annex 3¹ to this Regulation.

[Paragraph 17¹ amended by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

[Paragraph 17¹ introduced by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

17². The authorisation of the foreign exchange operation indicated in paragraph 3 letter i) shall be carried out by the issuance by the National Bank of Moldova of the authorisation according to the form provided in Annex 3² to this Regulation.

[Paragraph 17² amended by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

[Paragraph 17² introduced by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

17³. Depending on the specifics of the foreign exchange operations, the National Bank of Moldova may amend the content of the authorisation.

[Paragraph 17³ amended by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

[Paragraph 17³ introduced by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

18. Unless otherwise is specified in the NBM authorisation, it shall be valid until the total amount of funds indicated in the NBM authorisation is transferred, except for the foreign exchange operation specified in paragraph 3 letter g) or h), in which case the authorisation is valid until the obligations related to the loan/credit are extinguished.

[Paragraph 18 supplemented by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

19. The NBM authorisation shall be delivered or sent to the applicant/authorised representative in compliance with the provisions provided for in Article 11² paragraph (1) letter a)-c), e) of Law No 548/1995 on the National Bank of Moldova (hereinafter – Law No 548/1995).

[Paragraph 19 in the wording of the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

[Paragraph 19 in the wording of the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

19¹. In case of loss or damage of the NBM authorisation (on paper), the holder is entitled to submit to the National Bank of Moldova an application for the issuance of the duplicate authorisation. The application may be submitted in paper or electronic form. In the case of a damaged authorisation, the original of the damaged authorisation shall be attached to the paper application and a copy of the damaged authorisation shall be attached to the electronic application, the original of which shall be submitted to the National Bank of Moldova when the duplicate authorisation is issued in accordance with paragraph 19².

In case of a lost authorisation, the following text shall be included in the application for issuance of a duplicate authorisation “I declare on my own responsibility that I have lost the NBM authorisation No _____ issued on _____ and have not used it to perform the respective foreign exchange operation for which I am signing.”.

[Paragraph 19¹ introduced by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

19². The National Bank of Moldova shall issue the duplicate of the authorisation within 5 working days from the date of submission of the application for the issuance of the duplicate of the authorisation.

[Paragraph 19² introduced by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

19³. In the event of issuance of the duplicate of the NBM authorisation, the lost or damaged authorisation shall lose its validity.

[Paragraph 19³ introduced by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

19⁴. The duplicate of the NBM authorisation shall be delivered or sent to the applicant/authorised representative in compliance with the provisions provided for in Article 11² paragraph (1) letter a)-c) of Law No 548/1995.

[Paragraph 19⁴ introduced by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

Section 3. **Informing on modification of data contained in the documents submitted for obtaining the NBM authorisation**

20. In the event when, after the date of obtaining the NBM authorisation, the amendments/completions in the documents submitted by the resident have occurred and/or new documents which amend the data related to the authorised foreign exchange operation have been signed, the resident shall be obliged within 15 working days following the date of amendments/completions:

a) to submit an application for issuing an amendment to the NBM authorisation (according to the form from Annex 4 of this Regulation), attaching the respective documents – in case of amendments and/or completions which involve the modification of data in the NBM authorisation;

b) to notify in writing the National Bank of Moldova on the made amendments/completions, attaching the relevant documents – in case of amendments/completions of other data.

[Paragraph 20 amended by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

[Paragraph 20 amended by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

20¹. Documents referred to in paragraph 20 shall be submitted to the National Bank of Moldova in accordance with the provisions provided for in paragraphs 8² and 8³.

[Paragraph 20¹ introduced by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

21. The application for issuing an amendment to the NBM authorisation shall be signed by the resident (the person empowered by the resident).

[Paragraph 21 amended by the NBM's Decision No 30 of 13.02.2018, in force as of 02.03.2018]

22. In the event of submission of the application for issuing an amendment to the NBM authorisation, the provisions of paragraphs 12-16 of this Regulation shall be applied.

23. As a result of acceptance of the amendments and/or completions, the National Bank of Moldova shall issue an amendment to the respective authorisation, which shall be an integral part of the NBM authorisation.

[Paragraph 24 repealed by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

25. The amendment to the NBM authorisation shall be delivered or sent to the applicant/authorised representative in compliance with the provisions of paragraph 19.

[Paragraph 25 in the wording of the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

[Paragraph 25 in the wording of the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

Chapter III. **The procedure of making the payments/transfers within the authorised foreign exchange operations**

[Chapter III repealed by the NBM's Decision No 30 of 13.02.2018, in force as of 01.05.2018]

[Chapter III amended by the NBM's Decision No 134 of 24.05.2017, in force as of 16.06.2017]

[Chapter III amended by the NBM's Decision No 201 of 17.10.2013, in force as of 20.12.2013]

[Chapter III amended by the NBM's Decision No 9 of 28.01.2010, in force as of 10.04.2010]

Chapter IV.
Reporting the authorised foreign exchange
operations to the National Bank of Moldova

41. The resident holding the NBM authorisation for carrying out the foreign exchange operation subject to authorisation shall be obliged to report to the National Bank of Moldova the information related to this operation, by submitting:

a) the report on the performance of the authorised foreign exchange operation drawn up according to the form and the procedures of compiling it, provided for in Annex 5 of this Regulation – in case of carrying out the foreign exchange operations referred to in paragraph 3 letters a)-f), or

b) the report drawn up according to the form and procedures of compiling, indicated, as the case may be, in Annexes No 6-8 of this Regulation – in case of performing the foreign exchange operations mentioned in paragraph 3 letters g)-i).

[Paragraph 41 amended by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

[Paragraph 41 in the wording of the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

41¹. Reports referred to in paragraph 41 and documents enclosing these reports shall be submitted to the National Bank of Moldova on paper or in electronic form by the resident individuals, and in electronic form by the resident legal entities and resident individuals practicing a certain type of activity.

[Paragraph 41¹ introduced by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

42. Authorised foreign exchange operations are reported as follows:

a) if the operations subject to reporting are performed monthly, the Report shall be submitted monthly at the latest on the 15th of the month following the reporting month;

b) if the operations subject to reporting are performed with a frequency more than one month or with an irregular frequency, the Report shall be submitted at the latest on the 15th of the month following the month in which the respective operations were performed.

[Paragraph 42 amended by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

[Paragraph 42 amended by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

43. Depending on the specificity of the authorised foreign exchange operation and requirements stipulated in the authorisation for performing foreign exchange operation, the National Bank of Moldova may also specify in the issued authorisation the submission by the resident within the report indicated in paragraph 41 letter a) of this Regulation of the information, which content shall be determined depending on the specificities of the authorised foreign exchange operation. The respective information shall be an integral part of the Report.

[Paragraph 43 amended by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

44. The resident shall be obliged to attach to the Report referred to in paragraph 41 letter a) or b), of this Regulation, as the case may be, copies of the documents that shall be kept at the National Bank of Moldova, based on which in the report, as the case may be, in the information mentioned in paragraph 43, entries have been made on operations, other than those made by RPS providers.

[Paragraph 44 amended by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

[Paragraph 44 in the wording of the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

45. Documents referred to in paragraph 44 shall be submitted to the National Bank of Moldova in accordance with the requirements provided for in paragraph 41¹ and in section II of Annex 2.

[Paragraph 45 in the wording of the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

[Paragraph 45 amended by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

[Paragraph 45 amended by the NBM's Decision No 30 of 13.02.2018, in force as of 02.03.2018]

**Requirements for application for issuing the authorisation for
performing the foreign exchange operations referred to in paragraph 3 letters a)-f)**

1. The application for issuing the authorisation for performing the foreign exchange operation shall include (where applicable):

- 1) full name/name and surname of the applicant;
- 2) legal address/permanent residence of the applicant;
- 3) state identification number (IDNO/IDNP)/fiscal code of the applicant;
- 3¹) name and surname/full name, IDNO/IDNP of the administrator, founders (associates) of the resident legal entity, types of activity, size of the share capital of the resident legal entity;
- 3²) the name of the document allowing the resident individual to practice a certain activity (e.g. entrepreneurial patent, a notary license, or a license to practice law);
- 4) description of the foreign exchange operation subject to authorisation, which, along with other information related to the respective foreign exchange operation, may include:
 - a) description of financial instruments (depending on the specificities of the operation for purchase of financial instruments), including issuer, type – in case of the operations provided for in paragraph 11 subparagraph 1) letters a)-c) and e) of this Regulation;
 - b) description of foreign state securities, including issuer, type, maturity, interest rate, profitability rate, interest payment periods, as well as other information that served as a basis for making the decision on performing the respective foreign exchange operation – in case of purchasing foreign state securities;
 - c) description of the transactions for the performance of which the funds, that shall be transferred by the resident in favor of the non-resident for rendering respective services by the non-resident, are indented – in case of the operations indicated in paragraph 11, subparagraph 3) of this Regulation;
- 5) full name/name and surname of the counterparty within the foreign exchange operation;
- 6) legal address/permanent residence of the counterparty within the foreign exchange operation;
- 7) amount and name of the currency in which the foreign exchange operation shall be performed;
- 8) size of the share capital or size of the participations with voting right of the legal undertaking from abroad and the respective applicant's share as a result of performing the foreign exchange operation – in case of foreign exchange operations indicated in paragraph 11 subparagraph 1) letters a) and b) of this Regulation;
- 9) justification for performing the foreign exchange operation (arguments, reasons, supporting the need to perform the foreign exchange operation);
- 9¹) the source of origin of the funds, which are intended to be used for carrying out the foreign exchange operation (the source of obtaining the funds intended to be used for carrying out the foreign exchange operation (e.g. profit from the activity of the legal entity; remuneration; sale of real estate, etc.));
- 10) other information which the applicant considers necessary to include in the application;
- 11) the text (in case of applicant – resident legal entity):

"I hereby _____ assume

(name of the applicant)

the responsibility for the compliance of the foreign exchange operation with the provisions of the legislation of the Republic of Moldova, including Law No 62/2008 on Foreign Exchange Regulation for not making payments/transfers within the foreign exchange operation until obtaining the authorisation of the NBM, for the correctness, veracity, and timeliness of submitted documents and information, as well as for signing the respective documents (submitted to the

National Bank of Moldova) by the person empowered with this right in compliance with the legislation of the Republic of Moldova. Simultaneously, I undertake to meet the obligations, including those of reporting, established in the Regulation on authorisation of certain foreign exchange operations by the National Bank of Moldova, approved by the Decision of the Council of Administration of the National Bank of Moldova No 51/2009."

12) the text (in case of applicant, other than resident legal entity):

„ I hereby _____ assume
(full name/name and surname of the applicant)

the responsibility for the compliance of the foreign exchange operation with the legislation of the Republic of Moldova, including Law No 62/2008 on Foreign Exchange Regulation for not making payments/transfers within the foreign exchange operation until obtaining the authorisation of the NBM, for the correctness, veracity, and timeliness of submitted documents and information. Simultaneously, I undertake to meet obligations, including those of reporting, established in the Regulation on authorisation of certain foreign exchange operations by the National Bank of Moldova, approved by the Decision of the Council of Administration of the National Bank of Moldova No 51/2009.";

13) the text (in the event the applicant intends to obtain the authorisation for performing the foreign exchange operation indicated in paragraph 3 letter e) of this Regulation within which the payment/transfer shall be made in favor of a resident individual who is abroad):

„ I hereby _____ assume
(full name/name and surname of the applicant)

the responsibility for the fact that the payment/transfer will be made in favor of a resident individual who is abroad.";

14) the list of documents attached to the application including the data on these documents (name, number and date of the document, number of sheets in the document). In the event the submitted documents have been amended/completed, the respective documents shall also be included in this list;

15) contact person and his/her contact details (telephone number, postal address, e-mail).

2. The application for issuing the authorisation for performing the foreign exchange operation shall be signed by the applicant (the person empowered by them).

3. The application for issuing the authorisation for performing the foreign exchange operation shall be written on the letterhead (in case of resident legal entity).

[Annex 1 amended by the NBM's Decision No 255 of 06.11.2025, in force as of 01.01.2026]

[Annex 1 amended by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

[Annex 1 amended by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

[Annex 1 amended by the NBM's Decision No 30 of 13.02.2018, in force as of 02.03.2018]

[Annex 1 amended by the NBM's Decision No 201 of 17.10.2013, in force as of 20.12.2013]

[Annex 1 amended by the NBM's Decision No 9 of 28.01.2010, in force as of 10.04.2010]

APPLICATION
for issuing the authorisation for granting loan/credit

Hereby, _____
(full name /name and surname of resident creditor)

requests the issuance of the authorisation of the National Bank of Moldova for granting loan/credit in
favor of _____
(full name/name and surname of non-resident debtor)

(legal address/permanent residence of non-resident debtor)

based on the contract _____
(contract name, number, and date of conclusion)

in amount of _____
(amount in figures (units and decimals) and in letters, name of currency)

for the purpose _____

Economic sector of the creditor's activity _____

Justification for granting the loan/credit _____

The source of origin of the funds, to be used for carrying out foreign exchange operations

Share held by creditor in debtor's capital	Share held by debtor in creditor's capital
☐ no holding/not applicable	☐ no holding/not applicable
☐ up to 10%	☐ up to 10%
☐ 10% or more	☐ 10% or more

Resident creditor details – resident legal entity:

(name and surname/full name, IDNO/IDNP of the administrator, founders (associates) of the resident legal entity)

(types of activity, size of share capital of the resident legal entity)

I hereby _____
(full name/name and surname of resident creditor)

assume the responsibility for the compliance of the foreign exchange operation with the provisions of the legislation of the Republic of Moldova, including Law No 62/2008 on Foreign Exchange Regulation for not making payments/transfers within the foreign exchange operation until obtaining the authorisation of the NBM, for the correctness, veracity, and timeliness of submitted documents and information, as well as for signing the respective documents (submitted to the National Bank of Moldova) by the person empowered with this right in compliance with the legislation of the Republic of Moldova. Simultaneously, I undertake to meet the obligations, including those of reporting, established in the Regulation on the authorisation of certain foreign exchange operations by the National Bank of Moldova, approved by the Decision of the Council of Administration of the National Bank of Moldova No 51/2009.

Data on documents submitted to the National Bank of Moldova

No	Document name	Document number and date	Number of sheets

Administrator/Individual

_____ (signature)

_____ (name and surname)

Contact details:

**Date of application
compiling:**

**Procedure of compiling of
the application for issuing the authorisation for granting loan/credit**

1. The application shall be submitted by the resident creditor in the cases provided for in this Regulation. In the fields for data on:

- 1) resident creditor – indicate its full name/name and surname;
- 2) non-resident debtor – indicate its full name/name and surname, legal address/permanent residence, including country;

3) contract – indicate the contract name, number, and date when it was concluded. If there are amendments/supplements to the contract, only data related to the initial contract shall be indicated. If the contract is modified by other contracts (e.g. contract for assignment of claim, debt assumption) the data related to these contracts shall also be indicated;

4) amount – indicate the amount in figures (units and decimals) and in letters of the loan/credit, as well as the name of the currency indicated in the contract. If the loan/credit contract stipulates several amounts in various currencies, indicate each amount in the respective currency;

5) purpose – indicate the purpose specified in the contract for which the funds will be granted (e.g. construction of plant, replenishment of working capital, etc.). If the contract does not contain information regarding the purpose of granting the loan/credit, the resident creditor shall indicate the purpose independently;

6) economic sector of the creditor's activity – indicate the economic sector in which the resident creditor carries out its basic activity (e.g. construction, agriculture, light industry, etc.). Individuals shall not fill in this field;

7) justification for granting the loan/credit – indicate the arguments, reasons, supporting the need to grant the loan/credit;

7¹. the source of origin of the funds to be used for the foreign exchange operation – indicate the source of funds to be used for the foreign exchange operation (e.g. profit from the activity of the legal entity; remuneration; sale of real estate, etc.);

8) share held by creditor in debtor's capital – indicate the symbol "X" between brackets next to the indicator that corresponds to the situation regarding the direct holding of the share by the resident creditor in the capital of the non-resident debtor. If the debtor is an individual and if the creditor does not hold a share in the debtor's capital, the symbol "X" shall be added between brackets next to the indicator "no holding/not applicable";

9) share held by debtor in creditor's capital – indicate the symbol "X" between brackets next to the indicator corresponding to the situation regarding the direct holding of the share by the non-resident debtor in the capital of the resident creditor. If the creditor is an individual and if

the debtor does not hold a share in the creditor's capital, the symbol "X" shall be added between brackets next to the indicator "no holding/not applicable";

9¹) data on the resident creditor – resident legal entity – the information on the name and surname/full name, IDNO/IDNP of the administrator, founders (associates) of the creditor – resident legal entity, types of activity, size of the share capital of the guarantor – resident legal entity shall be indicated;

10) documents submitted to the National Bank of Moldova – the list of documents attached to the application shall be indicated, specifying the name of the document, its number and date, and number of pages in the document. If the submitted documents have been amended/supplemented, the amending documents shall also be included in this list;

11) contact details – indicate the means (resident creditor's postal address, telephone number, e-mail, etc.) by which the contact person can provide information regarding the foreign exchange operation;

12) date of application compiling – indicate the date when the application was compiled by the resident creditor.

2. The application shall be signed by the resident creditor (empowered person of the resident creditor).

3. All fields in the application shall be filled in. In case of missing data, a hyphen shall be added.

[Annex 1¹ amended by the NBM's Decision No 255 of 06.11.2025, in force as of 01.01.2026]

[Annex 1¹ amended by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

[Annex 1¹ introduced by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

**APPLICATION
for issuing the authorisation for granting guarantee**

Hereby, _____
(full name/name and surname of resident guarantor)

requests the issuance of the authorisation of the National Bank of Moldova for granting guarantee in
favor of the guarantee beneficiary

(full name/name and surname of non-resident guarantee beneficiary)

(legal address/permanent residence of non-resident guarantee beneficiary)

in order to ensure fulfillment of the guarantee applicant's obligations

(full name/name and surname of non-resident guarantee applicant)

(legal address/permanent residence of non-resident guarantee applicant)

in amount of _____
(amount in figures (units and decimals) and in letters, name of currency)

with validity term of the guarantee _____
in accordance with underlying contract _____
(contract name, number, and date of conclusion)

the source of origin of the funds, to be used to carry out the foreign exchange operation

Share held by guarantor in applicant's capital	Share held by applicant in guarantor's capital
☐ no holding/not applicable	☐ no holding/not applicable
☐ up to 10%	☐ up to 10%
☐ 10% or more	☐ 10% or more

Resident creditor details – resident legal entity:

(name and surname/full name, IDNO/IDNP of the administrator, founders (associates) of the resident legal entity,

types of activity, size of share capital of the resident legal entity)

I hereby _____ assume
(name/name and surname of resident guarantor)

the responsibility for the compliance of the foreign exchange operation with the provisions of the legislation of the Republic of Moldova, including Law No 62/2008 on Foreign Exchange Operations for not making payments/transfers within the foreign exchange operation until obtaining the authorisation of the NBM, for the correctness, veracity, and timeliness of submitted documents and information, as well as for the signing of respective documents (submitted to the National Bank of Moldova) by the person empowered with this right in compliance with the legislation of the Republic of Moldova. Simultaneously, I undertake to meet obligations, including those of reporting, established in the Regulation on the authorisation of certain foreign exchange operations by the National Bank of Moldova, approved by the Decision of the Council of Administration of the National Bank of Moldova No 51/2009.

Data on documents submitted to the National Bank of Moldova

No	Document name	Document number and date	Number of sheets

Administrator/Individual

_____ (signature)

_____ (name and surname)

Contact details:

Date of application

compiling:

**Procedure of compiling of
the application for issuing the authorisation for granting guarantee**

1. The application shall be submitted by the resident guarantor in the cases provided for in this Regulation. In the fields for data on:

- 1) resident guarantor – indicate its full name/name and surname;
- 2) guarantee beneficiary – indicate full name/name and surname, legal address/permanent residence, including country, of the non-resident guarantee beneficiary;
- 3) guarantee applicant – indicate full name/name and surname, legal address/permanent residence, including country, of the non-resident guarantee applicant;
- 4) amount – indicate the amount in figures (units and decimals) and in letters for which the guarantee will be granted, as well as the name of the currency to be indicated in the guarantee. If the guarantee stipulates several amounts in various currencies, indicate each amount in the respective currency;

5) validity term of the guarantee – indicate the date on which the term of guarantee's effect will expire or describe how the respective term will be established, as stipulated by the contract;

6) underlying contract – indicate name, number, and date of conclusion of the contract giving rise to debtor's obligations to the creditor, which fulfillment is ensured by establishment of the guarantee.

6¹) the source of origin of the funds to be used for the foreign exchange operation – indicate the source of funds to be used for the foreign exchange operation (e.g. profit from the activity of the legal entity; remuneration; sale of real estate, etc.);

7) share held by guarantor in applicant's capital – indicate the symbol "X" between brackets next to the indicator that corresponds to the situation regarding the direct holding of the share by the resident guarantor in the capital of the non-resident guarantee applicant. If the applicant is an individual and if the guarantor does not hold a share in the applicant's capital, the symbol "X" shall be added between brackets next to the indicator "no holding/not applicable";

8) share held by applicant in guarantor's capital – indicate the symbol "X" between brackets next to the indicator corresponding to the situation regarding the direct holding of the share by the non-resident guarantee applicant in the capital of the resident guarantor. If the guarantor is an individual and if the applicant does not hold a share in the guarantor's capital, the symbol "X" shall be added between brackets next to the indicator "no holding/not applicable";

8¹) data on the resident creditor – resident legal entity – the information on the name and surname/full name, IDNO/IDNP of the administrator, founders (associates) of the creditor – resident legal entity, types of activity, size of the share capital of the guarantor-resident legal entity shall be indicated;

9) documents submitted to the National Bank of Moldova – the list of documents attached to the application shall be indicated, specifying the name of the document, its number and date, and number of sheets in the document. If the submitted documents have been amended/supplemented, the amending documents shall also be included in this list;

10) contact details – indicate the means (resident guarantor's postal address, telephone number, e-mail, etc.) by which the contact person can provide information regarding the foreign exchange operation;

11) date of application compiling – indicate the date when the application was compiled by the resident guarantor.

2. The application shall be signed by the resident guarantor (empowered person of the resident guarantor).

3. All fields in the application shall be filled in. In case of missing data, a hyphen shall be added.

[Annex I² amended by the NBM's Decision No 255 of 06.11.2025, in force as of 01.01.2026]

[Annex I² amended by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

[Annex I² introduced by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

**I. The list of the documents to be attached to the application
for issuing the authorisation for performing the foreign exchange operation**

[Section A repealed by the NBM's Decision No 255 of 06.11.2025, in force as of 01.01.2026]

[Section A amended by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

[Section A amended by the NBM's Decision No 201 of 17.10.2013, in force as of 20.12.2013]

***B. The documents related to the foreign exchange operation for which the NBM
authorisation is requested***

7. The incorporation documents of the legal undertaking from abroad (with all modifications and completions thereto), signed by the founder/founders.

8. The documents showing that the size of resident's participation in the equity or in the number of securities of a participating nature with voting right of the legal undertaking from abroad will be less than 10% (e.g. extract from the minutes of meeting of founders of the joint stock company etc.).

9. The agreement on sale-purchase of the participation in the legal undertaking from abroad signed by the parties.

10. The agreement on sale-purchase of the foreign state securities signed by the parties.

11. The agreement on sale-purchase of the respective foreign financial instruments signed by the parties and/or other documents that are specific for the operation for the purchase of the mentioned foreign financial instruments.

12. The donation request and/or the signed and notary authenticated donation contract.

13. The agreement for rendering the respective services by a non-resident signed by the parties.

14. The agreement signed by the parties or other documents that justify making the payments/transfers abroad in favor of residents.

15. The agreement signed by the parties or other documents related to the exchange operation abroad.

15¹. Documents confirming the origin of the funds to be used for the foreign exchange operation exceeding EUR 10000 (or their equivalent) (e.g., financial statements for the last 3 years, document(s) confirming the existence of funds in the applicant's accounts opened with licensed banks).

15². The signed documents confirming that the applicant has received the loan/credit from another resident – if the authorisation is sought for the resident to repay the loan/credit in favor of the resident individual who is abroad.

15³. The signed agreement or other underlying document from which the loan/credit relationship arises (with modifications and completions, if any).

15⁴. The signed document from which the guarantee relationship arises (with modifications and completions, if any).

15⁵. The signed agreement giving rise to debtor's obligations to the creditor, which fulfillment is ensured by establishment of the guarantee (e.g. credit agreement, sale-purchase agreement).

15⁶. The signed agreement between the guarantor and guarantee applicant (if any).

15⁷. The signed agreement between the guarantor and guarantee beneficiary (if any).

16. Other documents which the applicant considers necessary to submit to obtain the authorisation for performing foreign exchange operation.

II. Requirements for the documents to be submitted to the National Bank of Moldova

1. General requirements for the documents to be submitted to the NBM

At the request of the National Bank of Moldova, documents drawn up in foreign languages shall be submitted accompanied by their authorised translations in Romanian.

Documents drawn up by the competent authorities of foreign countries or with their participation shall be legalized according to the legislation of the Republic of Moldova.

The NBM may require the presentation by the resident to submit the original documents, copies of which have been submitted to the National Bank of Moldova, in order to verify the authenticity of the copies in question.

2. Specific requirements for documents to be submitted on paper.

Documents shall be submitted in the form of photocopies, ensuring their integrity and quality (so that the document contains all the information and is legible), as well as the consecutive order of pages. The photocopies of the documents submitted shall be certified by the resident individual as being true copies of the originals. The confirmation is made by writing the following text on the photocopy of the document: *“I declare on my own responsibility that the photocopy corresponds to the original”*.

Photocopies of the submitted documents shall remain with the NBM.

At the discretion of the resident individual, instead of photocopies, documents may be submitted in the original or in notarized copies, which shall remain with the National Bank of Moldova.

3. Specific requirements for documents to be submitted in electronic form

Documents drawn up in electronic form shall be submitted in electronic form and shall comply with the requirements laid down in Law No 124/2022. Documents drawn up on paper shall be submitted in the form of a scanned copy in PDF format, ensuring the integrity and quality of the scanned documents (so that the scanned copy of the document contains all the information and is legible), as well as the consecutive order of the pages. Documents in electronic form and scanned documents shall be signed by the resident with the qualified electronic signature in accordance with Law No 124/2022 and shall be kept in electronic form with the National Bank of Moldova.

[Annex 2 amended by the NBM's Decision No 255 of 06.11.2025, in force as of 01.01.2026]

[Annex 2 amended by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

[Annex 2 amended by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

[Annex 2 amended by the NBM's Decision No 30 of 13.02.2018, in force as of 02.03.2018]

[Annex 2 amended by the NBM's Decision No 201 of 17.10.2013, in force as of 20.12.2013]

[Annex 2 amended by the NBM's Decision No 9 of 28.01.2010, in force as of 10.04.2010]

[Annex 3 repealed by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

[Annex 3 amended by the NBM's Decision No 201 of 17.10.2013, in force as of 20.12.2013]

Authorisation No _____
of _____
(date of authorisation)
for granting loan/credit

Hereby, the National Bank of Moldova authorises the granting _____

(name of loan/credit)

by _____
(full name/name and surname of resident creditor)

the state identification number/fiscal code of the creditor _____
in favor of _____
(full name/name and surname of non-resident debtor)

(legal address/permanent residence of non-resident debtor)

based on the contract _____
(contract name, number, and date of conclusion)

in amount of _____
(amount in figures (units and decimals) and in letters, name of currency)

with the maturity _____

interest rate _____

for the purpose _____
(full name/name and surname of resident creditor)

is obliged to report to the National Bank of Moldova on the operations performed under the authorised loan/credit.

Other information _____

This authorisation shall cease to be valid upon discharge of the loan/credit-related obligations.

The issuance of this authorisation does not imply the assumption by the National Bank of Moldova of the obligations related to the authorised loan/credit, as well as does not exempt the resident who has received the authorisation from the NBM, the resident payment service providers through which the authorised foreign exchange operation is carried out, from compliance with the provisions related to the respective foreign exchange operations established by other normative acts, as well as from the application of measures according to the requirements of the legislation in the field of preventing and combating money laundering and terrorist financing.

(position)	(signature)	(name and surname)
	Stamp	

*[Annex 3¹ amended by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]
[Annex 3¹ introduced by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]*

Authorisation No _____
of _____
(date of authorisation)
for granting guarantee

Hereby, the National Bank of Moldova authorises granting guarantee by

(full name/name and surname of resident guarantor)

state identification number/fiscal code of guarantor _____

in order to ensure fulfillment of the guarantee applicant's obligations

(full name/name and surname of non-resident guarantee applicant)

(legal address/permanent residence of non-resident guarantee applicant)

to guarantee beneficiary _____
(full name/name and surname of non-resident guarantee beneficiary)

(legal address/permanent residence of non-resident guarantee beneficiary)

in amount of _____
(amount in figures (units and decimals) and in letters, name of currency)

with validity term of the guarantee _____

in accordance with underlying contract _____
(contract name, number, and date of conclusion)

(full name/name and surname of resident guarantor)

is obliged to report to the National Bank of Moldova on the operations performed under the authorised guarantee.

Other information _____

The issuance of this authorisation does not certify the creditworthiness of the collateral and does not imply the assumption by the National Bank of Moldova of the obligations related to the authorised guarantee, as well as does not exempt the resident who has obtained the authorisation from the NBM, the resident payment service providers through which the authorised foreign exchange operations is carried out, from compliance with the provisions related to the respective foreign exchange operations established by other normative acts, as well as from the application of the measures according to the requirements of the legislation in the field of preventing and combating money laundering and terrorist financing.

(position)

(signature)

Stamp

(name and surname)

[Annex 3² amended by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

Annex 4
to the Regulation on authorisation of certain foreign
exchange operations by the National Bank of Moldova

Letterhead (in case of resident legal entity)

APPLICATION
for issuing the amendment to the authorisation for performing the foreign exchange operation

Hereby, _____
(full name /name and surname of the resident)

requests issuing the amendment to the authorisation No _____ of _____
for performing the foreign exchange operation _____,
(name of the foreign exchange operation)

determined by the modification of the following data from the NBM authorisation:

Data on the documents attached to the application

No	Name of the document	Number and date of the document	Number of sheets

Administrator/Individual

(signature)

(name and surname)

Contact details _____
*(postal address, telephone number, email address
of the administrator/the administrator's empowered person /individuals)*

Application drafted on:

*[Annex 4 amended by the NBM's Decision No 255 of 06.11.2025, in force as of 01.01.2026]
[Annex 4 amended by the NBM's Decision No 30 of 13.02.2018, in force as of 02.03.2018]*

Annex 5
to the Regulation on authorisation of certain foreign
exchange operations by the National Bank of Moldova

Form Code 04102/1

(IDNO/IDNP/fiscal code of the resident)

(full name/name and surname of the resident)

Model code	Periodicity	Form type	Correct. No
	D		

To be submitted: monthly at the latest on the 15th day of the month following the reporting month; or
at the latest on the 15th day of the month following the month in which the respective operations were
performed

Report
on the performance of authorised foreign exchange operation
for _____
(month and year)

1. The authorisation for performing the foreign exchange operation No _____ of _____
2. The name of the authorised foreign exchange operation _____
3. The amount of the authorised payments/transfers _____
4. The situation regarding the payments/transfers at the beginning of the reporting period _____
5. The information on payments/transfers:

No	Description of the operation	Date of the payment/transfer	Data on the payment/transfer				The situation regarding the payments/transfers on the date of performing the operation		Name of RPS provider
			in original currency		recalculated in the currency of the authorisation				
			currency code	amount	currency code	amount	currency code	amount	
A	B	C	1	2	3	4	5	6	7
1									
...									
n									
999	Check-sum digit	x	x		x		x		

6. This Report contains the information specified in the NBM authorisation No _____ of _____ (____ sheets).
 Administrator/their empowered person/individual _____ (signature, name and surname)
 Contact details: _____ (postal address, telephone number, e-mail address of the administrator/their empowered person/individual)
 Report drafted on _____

NOTE: This Report is compiled according to:

1. Decision of the Council of Administration of the NBM No 9 of 28 January 2010
2. Regulation on authorisation of certain foreign exchange operations by the National Bank of Moldova (Decision of the Council of Administration of the NBM No 51 of 5 March 2009, Official Monitor of the Republic of Moldova, 2009, No 57-58, Article 251)

The procedures of compiling the Report on the performance of authorised foreign exchange operation

1. The Report on the performance of authorised foreign exchange operation shall be compiled and submitted to the National Bank of Moldova by the individual on paper or in electronic form, and by the legal entity and the resident individual practicing a certain type of activity – in electronic form, as follows:

a) where operations are carried out monthly, the Report shall be submitted monthly at the latest by the 15th of the month following the reporting month;

b) where operations are carried out with a frequency of more than one month or with an irregular frequency, the Report shall be submitted at the latest by the 15th of the month following the month in which the respective operations were carried out.

2. The Report shall contain:

a) the standardized information reflected in the Report Form, in which the information on the authorised operation and payments/transfers (including in cash) made/received by the resident holding the NBM authorisation, shall be indicated;

b) where appropriate, the respective information, which content shall be determined upon the issuance of the NBM authorisation, depending on the specificities of authorised foreign exchange operation.

3. The amounts shall be indicated in the Report with two decimal digits.

4. The respective information shall be indicated in the Report in the currency in which the payments/transfers were made/received, being recalculated, where appropriate, in the currency indicated in the NBM authorisation.

5. (IDNO/IDNP/fiscal code of the resident): INDO/IDNP state identification number/fiscal code of the resident shall be indicated.

6. (full name/name and surname of the resident): the full name/name and surname of the resident holding the authorisation for performing the foreign exchange operation shall be indicated.

7. The rubric "1. The authorisation for performing foreign exchange operation" shall indicate the number (code in letters and figures) and date of issuance of the NBM authorisation.

8. The rubric "2. The name of the authorised foreign exchange operation" shall indicate the content of the authorised foreign operation according to the NBM authorisation.

9. The rubric "3. The amount of the authorised payments/transfers" shall indicate the name of the currency and its amount (indicated in the NBM authorisation) within the limit of which the resident may make payments/transfers based on the NBM authorisation.

10. The rubric "4. The situation regarding the payments/transfers at the beginning of the reporting period" shall indicate the name of the currency indicated in the NBM authorisation, as well as the amount that reflects the situation regarding the payments/transfers made by the resident according to the NBM authorisation at the beginning of reporting period. The amount from the rubric 4 shall be determined by summing up the amounts of all payments/transfers made by the resident according to the NBM authorisation, diminished by the amounts of the funds returned to the resident, reflected in previous reports (all the amounts are recalculated, if necessary, in the currency indicated in the NBM authorisation, by applying the exchange rate provided for in paragraph 14 of the Regulation on conditions and procedure for performing foreign exchange operations). This amount cannot exceed the amount indicated in the NBM authorisation. In the event when several amounts in different currencies are indicated in the NBM authorisation, the amounts in rubric 4 shall be indicated separately for each currency.

11. The table in rubric "5. The information on payments/transfers" shall be completed as follows:

1) Column A "No" shall indicate the running number of the entry in the table.

2) Column B "Description of the operation" shall shortly indicate the purpose of the payment/transfer made/received by the resident (e.g., payment for shares of company "X" from

Germany purchased from the company "Y"; transfer of non-resident broker "Z" from the U.S.A.; refunding of unused funds by the non-resident-broker "Z" from the U.S.A. etc.).

3) Column C "Date of the payment/transfer" shall indicate the date of making/receiving the respective payment/transfer, reflected in the document (e.g., payment order) that confirms the making/receiving the respective payment/transfer.

4) Columns 1-4 "Data on the payment/transfer" shall indicate the information on the amounts of payments/transfers made/received by the resident, and namely:

a) columns 1 and 2 "Data on the payment/transfer: in original currency" shall indicate the alphabetical code of the currency in which the resident made/received the payment/transfer and the amount of the payment/transfer, indicated in the document (e.g., payment order) that confirms making/receiving the respective payment/transfer;

b) columns 3 and 4 "Data on the payment/transfer: recalculated in the currency of the authorisation" shall indicate the alphabetical code of the currency indicated in the NBM authorisation and the amount of the payment/transfer recalculated in the currency of the authorisation, by applying the exchange rate provided for in paragraph 14 of the Regulation on conditions and procedure for performing foreign exchange operations. In the event when the currency of the payment/transfer does not differ from the currency indicated in the NBM authorisation, columns 3 and 4 shall repeat the information from the columns 1 and 2;

c) columns 5 and 6 "The situation regarding the payments/transfers on the date of performing the operation" shall indicate the alphabetical code of the currency indicated in the NBM authorisation, as well as the amount that reflects the situation regarding the payments/transfers made by the resident on the date of performing the foreign exchange operation. The amount in column 6 shall be determined according to the following formula: amount in rubric 4 of the Report + amounts of payments/transfers made reflected in column 4 of the table – amounts of funds reimbursed to the resident, reflected in column 4 of the table. In the event when several amounts in different currencies are indicated in the NBM authorisation, the amounts in column 6 shall be indicated separately for each currency;

c¹) column 7 "Name of the RPS Provider" shall indicate the name of the RPS provider through which the cash receipts/payments/transfers of funds related to the authorised foreign exchange operation were made is indicated. In other cases, this column should not be completed.

d) in line 999 "Check-sum digit", columns 2, 4 and 6 shall indicate the check-sum digit, that is calculated by summing up all data from the respective column.

12. The rubric "6. This Report contains the information specified in the NBM authorisation" shall be completed only if, according to the requirements of the NBM authorisation, the resident shall submit the respective information within the given Report. This rubric shall indicate the number and the date of the NBM authorisation and the number of sheets of the information, the submission of which is provided for by the NBM authorisation. The completed Report Form shall be accompanied by the respective information.

Note: See the Rectification in the Official Monitor No 67 of 03.04.2009, page 53

13. The Report shall be signed by the resident (empowered persons of the resident).

[Annex 5 amended by the NBM's Decision No 239 of 30.11.2023, in force as of 01.01.2024]

[Annex 5 amended by the NBM's Decision No 13 of 24.01.2020, in force as of 01.04.2020]

[Annex 5 amended by the NBM's Decision No 30 of 13.02.2018, in force as of 01.05.2018]

[Annex 5 amended by the NBM's Decision No 30 of 13.02.2018, in force as of 02.03.2018]

[Annex 5 amended by the NBM's Decision No 201 of 17.10.2013, in force as of 20.12.2013]

[Annex 5 amended by the NBM's Decision No 9 of 28.01.2010, in force as of 10.04.2010]

Annex 6

Regulation on the authorisation of certain foreign
exchange operations by the National Bank of Moldova

to be submitted: monthly, no later than the 15th of the month following the month of the reporting month;
or not later than the 15th of the month following the month in which the respective operations were carried out

(IDNO/IDNP/fiscal code of the resident)

(full name/name and surname of the resident)

Report
on operations performed under the authorised loan/credit
for the month of _____ 20_____

Authorisation for granting loan/credit No _____ of _____

No	Instalm ent No	Date of operati on	Type of operati on	Metho d of carryin g out the operati on	Value of the operation		Value of operation recalculated in contract currency		Documen t confirmin g the performa nce of the operation	Name of the RPS provid er
					Amou nt	Curren cy code	Amou nt	Curren cy code		
A	B	1	2	3	4	5	6	7	8	9
1										
2										
3										
...										
n										

Administrator/their empowered person/individual _____
(signature, name and surname)

Contact details: _____
(postal address, telephone number, e-mail address of the administrator/their empowered person/individual)

Report drafted on _____

Procedure of compiling the Report on operations carried out under the authorised loan/credit

1. The report on operations carried out under the authorised external loan/credit shall be completed by the resident creditor and submitted to the National Bank of Moldova on paper or in electronic form by the individual, and in electronic form by the resident legal entity and resident individual practicing a certain type of activity, as follows:

a) where operations are carried out monthly, the Report shall be submitted monthly, at the latest by the 15th of the month following the reporting month;

b) where operations are carried out with a frequency of more than one month or with an irregular frequency, the Report shall be submitted at the latest by the 15th of the month following the month in which the respective operations were carried out.

2. The amounts shall be indicated in the Report with two decimal digits.

3. “(IDNO/IDNP/fiscal code of the resident)” the state identification number IDNO/IDNP/fiscal code of the resident shall be indicated.

4. “(full name/name and surname of the resident)”: full name/name and surname of the resident creditor who has received the authorisation to grant the loan/credit shall be indicated.

5. Column “Authorisation for granting loan/credit” shall indicate the number (code in letters and figures) and the date of issue of the NBM authorisation.

6. Column A “No” shall indicate the serial number of each line in the report.

7. Column B, “Instalment No”, shall indicate the serial number of the instalment for which the information is reported. Column B shall not be completed if the loan/credit was granted to the non-resident in a single instalment under the relevant contract.

8. Column 1 “Date of operation” shall indicate the date of each operation carried out according to the loan/credit (e.g. date of settlement of funds in the account).

9. Column 2 “Type of operation” shall indicate the corresponding code of the operation carried out under the loan/credit. For granting loan/credit (drawdowns), code 01 shall be indicated. For operations related to payment of debts of the non-resident debtor the following codes shall be indicated: code 21 – for receipt of loan/credit principal, code 22 – for receipt of interest, code 23 – for receipt of penalty, code 24 – for receipt of commission.

10. Column 3 “Method of carrying out the operation” shall indicate the corresponding code for the method by which the resident granted the loan/credit or the corresponding code for the method by which the debts of the non-resident debtor were settled (loan/credit principal and other payments/transfers) under the loan/credit: code 11 - by funds, code 12 - by deliveries of goods, code 13 - by services, code 14 - in other forms.

11. Column 4 “Amount” shall indicate the amount of the operation performed.

12. Column 5 “Currency code” shall indicate the alphabetical code (according to ISO 4217) of the currency in which the operation was performed (e.g. USD, EUR, etc.).

13. Column 6 “Amount” shall indicate the amount of the operation recalculated in the currency of the loan/credit according to the loan/credit contract (contract currency) at the exchange rate fixed by the parties in the contract or, if no exchange rate is specified, at the official exchange rate of the Moldovan leu valid on the date of the operation. If the loan/credit is granted in several instalments and these instalments are provided for in the loan/credit contract in different currencies, the amount of the operation carried out shall be recalculated in the currency of the corresponding instalment. This column shall only be completed if the operation was carried out in a currency other than the contract/tranche currency.

14. Column 7 “Currency code” shall indicate the alphabetical code (according to ISO 4217) of the contract currency/instalment. This column shall be completed if column 6 indicates the recalculated operation amount in contract currency.

15. Column 8 “Document confirming the performance of the operation” shall indicate the name of the document confirming the operation (e.g. payment order), its number and date.

16. Column 9 “Name of the RPS provider” shall indicate the name of the RPS provider (e.g. name of the licensed bank) through which cash receipts/payments/transfers of funds in relation to the authorised loan/credit were made. In other cases, this column shall be left empty.

17. The report shall be signed by the resident creditor. In the case of a legal entity, the report shall be signed by its administrator/empowered person. In the case of an individual and an individual practicing a certain activity, the report shall be signed by that individual.

[Annex 6 in the wording of the NBM’s Decision No 239 of 30.11.2023, in force as of 01.01.2024]

[Annex 6 introduced by the NBM’s Decision No 13 of 24.01.2020, in force as of 01.04.2020]

Annex 7

Regulation on the authorisation of certain foreign
exchange operations by the National Bank of Moldova

to be submitted: monthly, no later than the 15th of the month following the month of the reporting month;
or not later than the 15th of the month following the month in which the respective operations were carried out

(IDNO/IDNP/fiscal code of the resident)

(full name/name and surname of the resident)

Report
on operations performed under the authorised guarantee
for the month of _____ 20_____

Authorisation for granting the guarantee No _____ of _____

No	Date of carrying out the operation	Type of payments performed under the guarantee	Repayment of amounts paid under the guarantee		Value of operation		Value of operation recalculated in guarantee currency		Document confirming the performance of the operation	Name of the RPS provider
			Payments	Type of payments	Amount	Currency code	Amount	Currency code		
A	1	2	3	4	5	6	7	8	9	10
1										
2										
3										
...										
n										

Administrator/their empowered person/individual _____
(signature, name and surname)

Contact details: _____
(postal address, telephone number, e-mail address of the administrator/their empowered person/individual)

Report drafted on _____

Procedure of compiling the Report on operations carried out under authorised guarantee

1. The report on operations carried out under the authorised guarantee shall be completed by the resident guarantor and submitted to the National Bank of Moldova on paper or in electronic form by the individual, and in electronic form by the legal entity and resident individual practicing a certain type of activity, as follows:

a) where operations are carried out monthly, the Report shall be submitted monthly, at the latest by the 15th of the month following the reporting month;

b) where operations are carried out with a frequency of more than one month or with an irregular frequency, the Report shall be submitted at the latest by the 15th of the month following the month in which the respective operations were carried out.

2. The amounts shall be indicated in the Report with two decimal digits.

3. “(IDNO/IDNP/fiscal code of the resident)” the state identification number IDNO/IDNP/fiscal code of the resident guarantor shall be indicated.

4. “(full name/name and surname of the resident)”: full name/name and surname of the resident guarantor who has received the authorisation for granting guarantee shall be indicated.

5. Column “Authorisation for granting guarantee No ____ of _____” shall indicate the number (code in letters and figures) and the date of issue of the NBM authorisation.

6. Column A “No” shall indicate the serial number of each line in the report.

7. Column 1 “Date of operation” shall indicate the date of each operation carried out after the guaranteed event.

8. Column 2 “Type of payments performed under the guarantee” shall indicate the corresponding code of the type of payment/transfer performed by the resident guarantor in favour of the non-resident the guarantee beneficiary under the authorised guarantee. If the guaranteed operation represents the granting of a loan/credit, the following codes shall be indicated: code 31 – on repayment of the principal amount, code 32 – on payment of interest, code 33 – on payment of penalty, code 34 – on payment of the commission. If the guaranteed transaction represents a sale-purchase of goods, provisions of services, etc. code 35 shall be indicated.

9. Column 3 “Payments”: shall indicate the method the non-resident guarantee applicant returns to the resident guarantor the amounts paid by the resident guarantor under the authorised guarantee: code 41 – by funds, code 42 – by delivery of goods, code 43 – by rendering services, code 44 – in other forms.

10. Column 4 “Type of payments” shall indicate the code corresponding to the type of payment/transfer received by the resident guarantor from the non-resident guarantee applicant in return for the amounts paid by the guarantor under the authorised guarantee.

If the guaranteed transaction represents the granting of a loan/credit, the following codes shall be indicated: on the return of the amounts paid by the resident guarantor as repayment of the principal amount of the loan/credit - code 51, the amount of interest - code 52, the amount of penalty - code 53, the amount of commission - code 54. If the guaranteed transaction represents a sale/purchase of goods, provision of services, etc., code 55 shall be used when the guarantee applicant returns the amounts paid by the guarantor.

Either column 2 or columns 3 and 4 should be completed, depending on the type of operation (payments carried out under guarantee, return of amounts paid under guarantee). Columns 2, 3 and 4 cannot be completed at the same time.

11. Column 5 “Amount” shall indicate the amount of the performed operation.

12. Column 6 “Currency code” shall indicate the alphabetical code (according to ISO 4217) of the currency in which the operation was performed (e.g. USD, EUR, etc.).

13. Column 7 “Amount” shall indicate the amount of the operation recalculated in the currency of the guarantee contract (guarantee currency) at the exchange rate fixed by the parties in the contract or, if no exchange rate is specified, at the official exchange rate of the Moldovan

leu valid on the date of the operation. This column shall only be completed if the operation was carried out in a currency other than the guarantee currency.

14. Column 8 “Currency code” shall indicate the alphabetical code (according to ISO 4217) of the guarantee currency referred to in the contract. This column shall be completed if column 7 indicates the recalculated operation amount in guarantee currency.

15. Column 9 “Document confirming the performance of the operation” shall indicate the name of the document confirming the operation (e.g. payment order), its number and date.

16. Column 10 “Name of the RPS provider” shall indicate the name of the RPS provider (e.g. name of the licensed bank) through which cash collections/payments/transfers of funds in relation to the authorised guarantee were made. In other cases, this column shall be left empty.

17. The report shall be signed by the resident guarantor. In the case of a legal entity, the report shall be signed by its administrator/empowered person. In the case of an individual and an individual practicing a certain activity, the report shall be signed by that individual.

[Annex 7 in the wording of the NBM’s Decision No 239 of 30.11.2023, in force as of 01.01.2024]

[Annex 7 introduced by the NBM’s Decision No 13 of 24.01.2020, in force as of 01.04.2020]

Annex 8

Regulation on the authorisation of certain foreign
exchange operations by the National Bank of Moldova

to be submitted: monthly, no later than the 15th of the month following the month of the reporting month;
or not later than the 15th of the month following the month in which the respective operations were carried out

(IDNO/IDNP/fiscal code of the resident)

(full name/name and surname of the resident)

Report
on operations performed under the guarantee granted as guarantee deposit
for the month of _____ 20_____

Authorisation for granting guarantee No _____ of _____

No	Date of carrying out the operation	Type of operation relating to the guarantee deposit	Value of operation		Value of operation recalculated in the guarantee currency		Document confirming the performance of the operation	Name of the RPS provider
			Amount	Currency code	Amount	Currency code		
A	1	2	3	4	5	6	7	8
1								
2								
3								
...								
n								

Administrator/their empowered person/individual _____
(signature, name and surname)

Contact details: _____
(postal address, telephone number, e-mail address of the administrator/their empowered person/individual)

Report drafted on _____

**Procedure of compiling
the Report on operations carried out under the guarantee granted in the form of
guarantee deposit**

1. The Report on operations carried out under the guarantee granted in the form of guarantee deposit shall be completed by the resident guarantor and submitted to the National Bank of Moldova on paper or in electronic form by the individual, and in electronic form by the legal entity and resident individual practicing a certain type of activity, as follows:

a) where operations are carried out monthly, the Report shall be submitted monthly, at the latest by the 15th of the month following the reporting month;

b) where operations are carried out with a frequency of more than one month or with an irregular frequency, the Report shall be submitted at the latest by the 15th of the month following the month in which the respective operations were carried out.

The information regarding the use of funds by the non-resident guarantee beneficiary under the authorised guarantee shall be completed by the resident guarantor based on documents received from the non-resident guarantee beneficiary.

2. The amounts shall be indicated in the Report with two decimal digits.

3. “(IDNO/IDNP/fiscal code of the resident)” the state identification number IDNO/IDNP/fiscal code of the resident guarantor shall be indicated.

4. “(full name/name and surname of the resident)”: full name/name and surname of the resident guarantor who has received the authorisation for granting guarantee shall be indicated.

5. Column “Authorisation for granting guarantee No _____ of _____” shall indicate the number (code in letters and figures) and the date of issue of the NBM authorisation.

6. Column A “No” shall indicate the serial number of each line in the report.

7. Column 1 “Date of carrying out the operation” shall indicate the date of each operation carried out according to the authorised guarantee.

8. Column 2 “Type of operation relating to the guarantee deposit” shall indicate the code for the type of operation relating to the guarantee deposit: code 61 – setting up the guarantee deposit, code 62 – use of the guarantee deposit, code 63 – replenishment of the guarantee deposit, code 64 – repayment of the balance of the guarantee deposit, code 65 – other operations than those referred to under codes 61-64, if applicable.

9. Column 3 “Amount”: shall indicate the amount of the carried out operation.

10. Column 4 “Currency code” shall indicate the alphabetical code (according to ISO 4217) of the currency in which the operation was carried out (e.g. USD, EUR, etc.).

11. Column 5 “Amount” shall indicate the amount of the operation recalculated in the currency of the guarantee contract granted in the form of a guarantee deposit (guarantee currency) at the exchange rate fixed by the parties in the contract or, if no exchange rate is specified, at the official exchange rate of the Moldovan leu valid on the date of the operation. This column shall only be completed if the operation was carried out in a currency other than the guarantee currency.

12. Column 6 “Currency code” shall indicate the alphabetical code (according to ISO 4217) of the guarantee deposit currency referred to in the contract. This column shall be completed if column 5 indicates the recalculated operation amount in guarantee currency.

13. Column 7 “Document confirming the carrying out the operation” shall indicate the name of the document confirming the operation (e.g. payment order), its number and date.

14. Column 8 “Name of the RPS provider” shall indicate the name of the RPS provider (e.g. name of the licensed bank) through which cash collections/payments/transfers of funds in relation to the authorised guarantee were made. In other cases, this column shall be left empty.

15. The report shall be signed by the resident guarantor. In the case of a legal entity, the report shall be signed by its administrator/empowered person. In the case of an individual and an individual practicing a certain activity, the report shall be signed by that individual.

[Annex 8 in the wording of the NBM’s Decision No 239 of 30.11.2023, in force as of 01.01.2024]

[Annex 8 introduced by the NBM’s Decision No 13 of 24.01.2020, in force as of 01.04.2020]